

# The Conway knot is not slice

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- §3 Construction of Piccirillo's knot
- §4 Rasmussen's  $s$ -invariant

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## §1 Mutation and sliceness

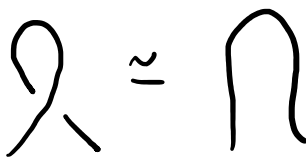
— Knots

Def. A knot is an embedding  $S^1 \hookrightarrow S^3$  on  $\mathbb{R}^3$ .  
considered up to ambient isotopy.

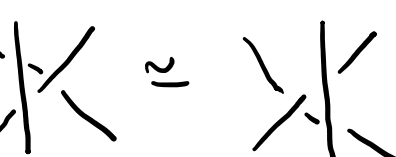
$$K \approx K'$$



• Reidemeister moves

I 

II 

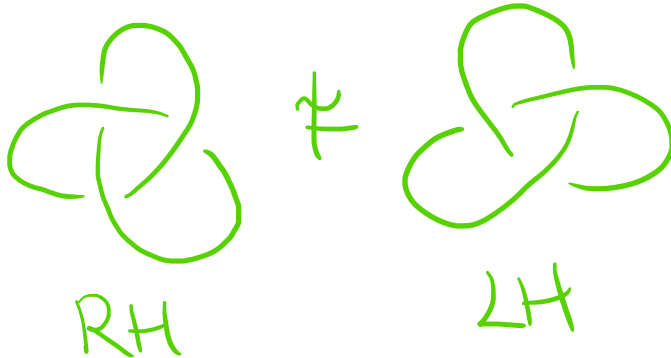
III 

e.g. Unknot,  $U$



e.g. Trefoil knots

knot invariant



e.g. Figure 8 knot

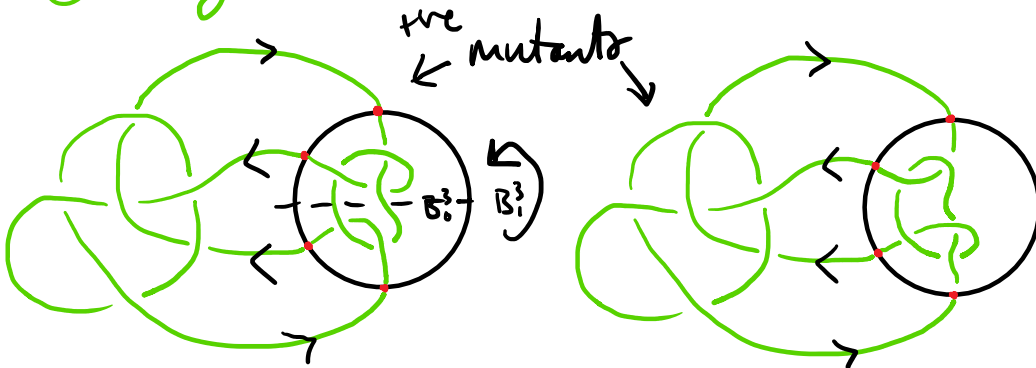


$K \cong \bar{K}$   
"amphichiral"

## Mutation

e.g. Conway knot

Kinoshita-Terasaka knot



Def. A Conway sphere for a knot  $K$  is an embedded  $S^2 \hookrightarrow S^3$  which intersects  $K$  transversely in exactly 4 points.

$$S^3 \left\{ \begin{array}{l} \text{Diagram of } S^3 \text{ as } B_0^3 \cup_{S^2} B_1^3 \\ S^3 = B_0^3 \cup_{S^2} B_1^3 \\ K = K_0 \cup K_1 \end{array} \right. \quad \text{"tangles"}$$

Def.  $K^*$  is a mutant of  $K$  if it can be obtained from  $K_0$  &  $K_1$  by regluing  $B_0^3$  &  $B_1^3$  via an involution of the Conway sphere.

Def.  $K^*$  is a positive mutant of  $K$  if it is a mutant of  $K$  which also inherits a well-defined orientation from  $K_0$  &  $K_1$ .

- Positive mutation preserves many 3-dimensional knot invariants.

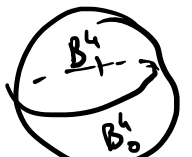
e.g. Alex. / Jones / HOMFLY polynomials.

e.g.  $S^3 \setminus \nu(K)$  hyperbolic volume

### - Sliceness

-  $S^3$    $\cup$

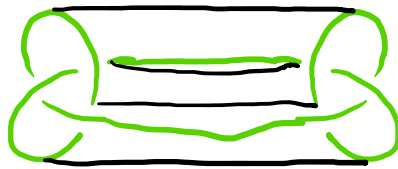
-  $S^4$  Any knot bounds a disc.

-  $B^4$    $S^3$

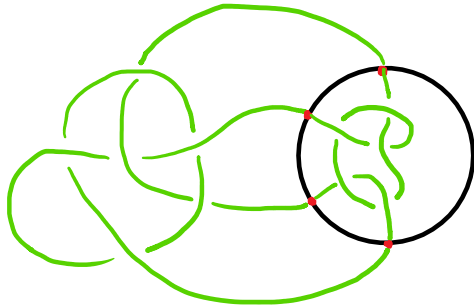
Def. Let  $K$  be a knot and suppose  $\exists D^2 \subset B^4$  s.t.  $K = \partial D^2$ .

- $\Downarrow$  •  $K$  is called topologically slice if  $D^2 \subset B^4$  is locally flat
- $\Uparrow$  •  $K$  is called (smoothly) slice if  $D^2 \subset B^4$  smoothly embedded.

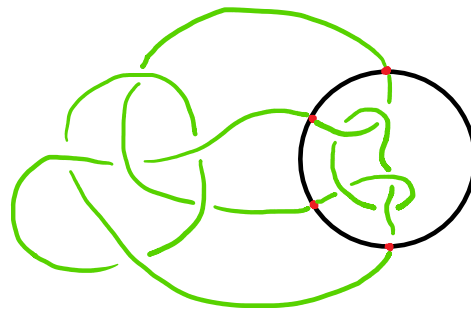
e.g.  $K \# \bar{K}$



e.g. Conway knot



Kinoshita-Terasaka knot



top. slice  
slice

✓  
?

✓  
✓

Problem: KT knot is slice.

↑  
+ve mutant of Conway knot.

Solution: Get as far from KT knot as possible!

# §2 Handles and Kirby calculus

## Handles

Def. An  $n$ -dim<sup>l</sup>  $h$ -handle is  $H_k^n \cong D^k \times D^{n-k}$ ,  
 glued in a particular way. ↑ core ↑ core

$$\partial H_k^n \cong (\partial D^k \times D^{n-k}) \cup (D^k \times \partial D^{n-k})$$

$$\cong \underbrace{(S^{k-1} \times D^{n-k})}_{\text{attaching region}} \cup \underbrace{(D^k \times S^{n-k-1})}_{\text{belt region}}$$



attaching sphere.

$n \backslash k$	0	1	2	3	4
1	—	—			
2					
3					
4	$D^4$	$S^0 \times D^3$	$S^1 \times D^2$	$S^2 \times D$	

$\partial D^4 = S^3$   
 $A.S. S^1 \subset S^3$   
 "framed"  $\rightarrow$  knot!

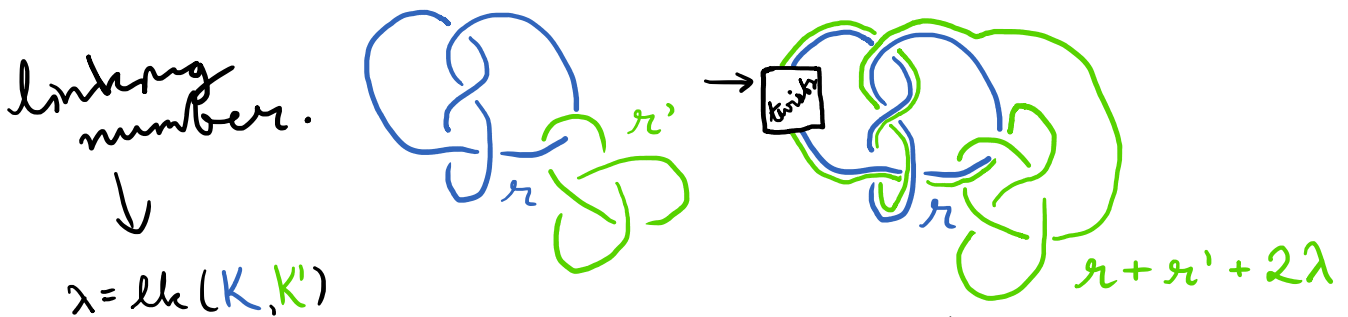
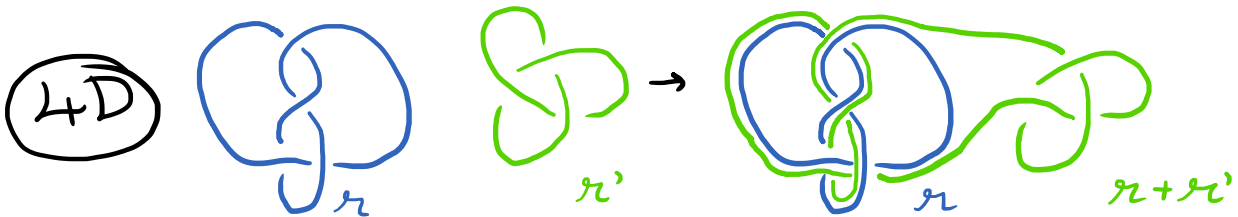
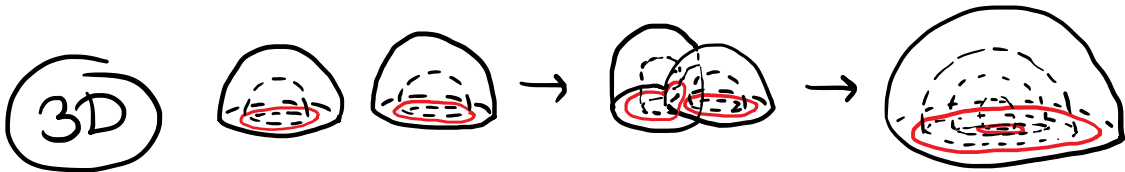
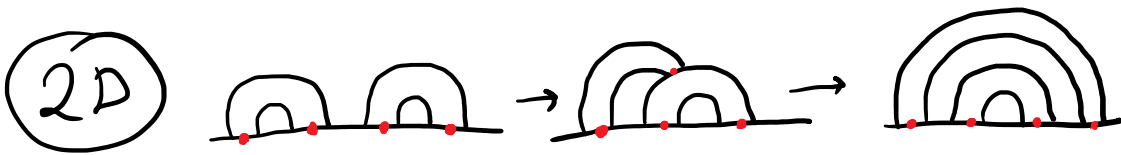
"Framing"  
 $n \in \mathbb{Z}$



# Kirby calculus

Thm. [Kirby]  $L, L'$  framed link diagrams related by a finite sequence of "Kirby moves"  
 $\Rightarrow$  corresponding 4-manifolds  $X, X'$  diffeomorphic

## • Handle slides



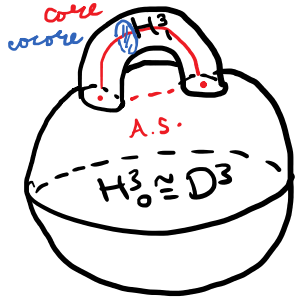
$$= \frac{1}{2} \left( \# \{ +ve \text{ crossings between } K, K' \} - \# \{ -ve \text{ crossings between } K, K' \} \right)$$

+ve

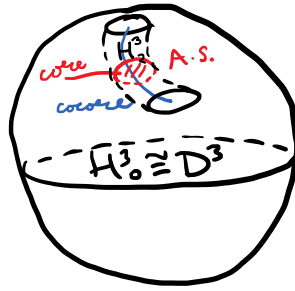
-ve

- Attaching a 1-handle = removing a 2-handle

3D



$\cong$



4D



$\cong$



dotted circle notation

$$H_1^4 \cong D^1 \times D^3$$

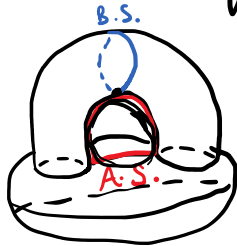
$$A.S. = S^0$$

$$H_2^4 \cong D^2 \times D^2$$

$$A.S. = S^1$$

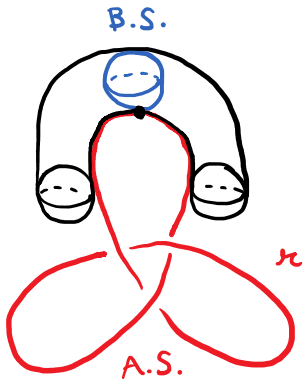
- Cancellation of handle pairs

3D

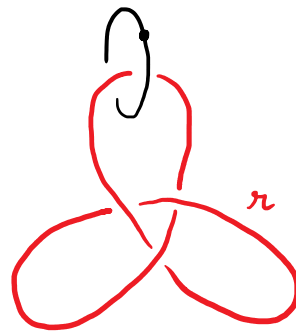


$$|A.S.(H_2^3) \wedge B.S.(H_1^3)| = 1$$

4D



$\cong$



cancel  
(delete from diagram)

## § 3 Construction of Piccirillo's knot

### - Trace

Def. The trace of  $K$  is the 4-manifold  $X(K) \cong B^4 \cup_K H^4_2$  where  $H^4_2$  is glued to  $B^4$  along  $K$  with framing 0.

Lemma  $K$  is slice  $\Leftrightarrow X(K) \hookrightarrow S^4$  smoothly.  
[Kirby & Melvin].

Corollary  $X(K) \cong X(K') \Rightarrow (K \text{ is slice} \Leftrightarrow K' \text{ is slice})$ .

Strategy: Construct  $K'$  s.t.  $X(K) \cong X(K')$ .  
Then show  $K'$  is not slice.  
 $K$  Conway knot

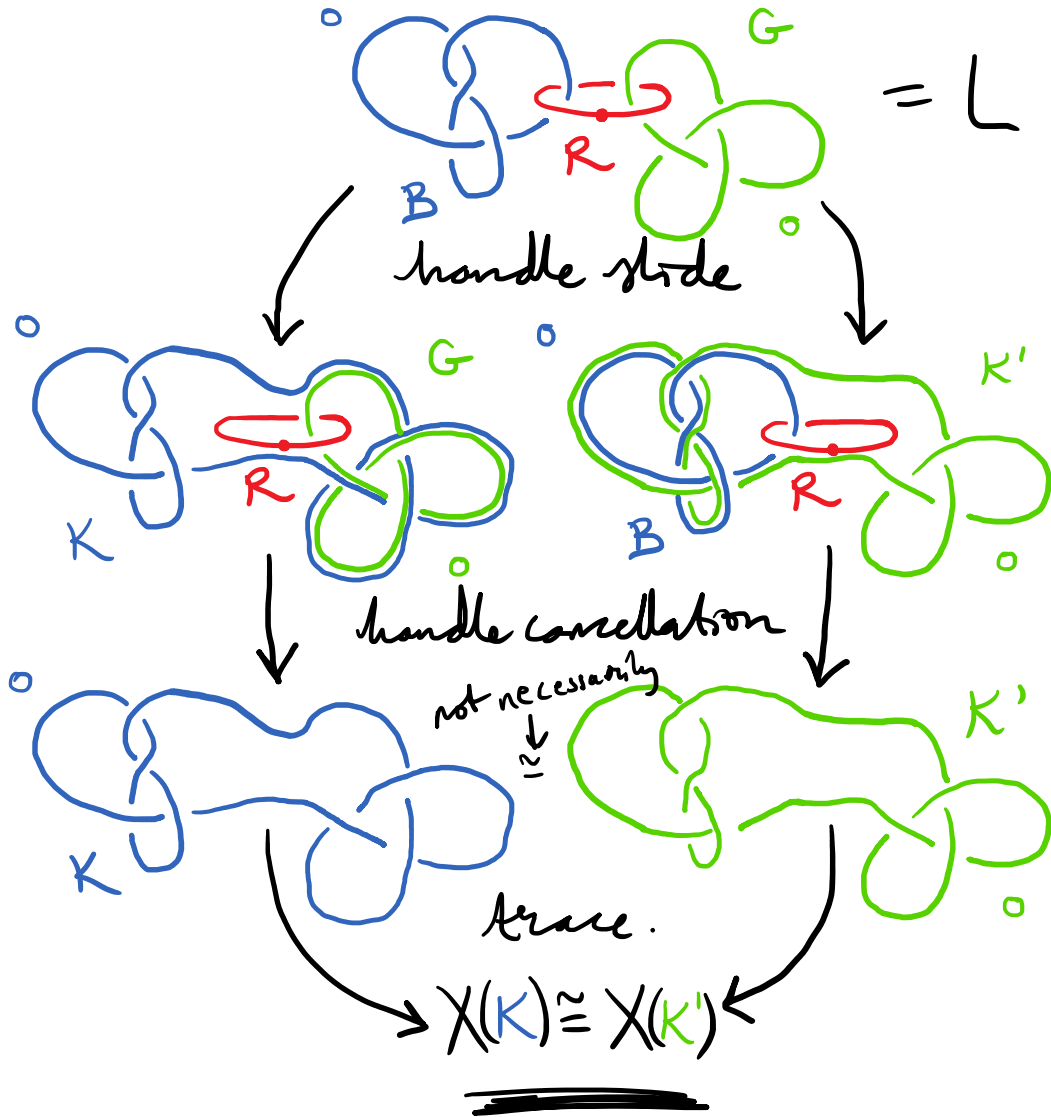
### - Inalisable patterns construction

**Step 1** Given a link  $L = B \cup R \cup G$ ,  
we'll construct two knots  $K, K'$   
which satisfy  $X(K) \cong X(K')$ .

$L$  must satisfy 3 conditions:


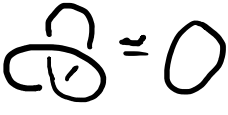


- (i)  $B \cup R \approx B \cup \mu_B$
  - (ii)  $G \cup R \approx G \cup \mu_G$
  - (iii)  $lk(B, G) = 0$
- $\begin{array}{c} \downarrow \mu_K \\ \downarrow \mu_{K'} \\ X(K) \cong X(K') \end{array}$
- $\mu = \text{meridian}$

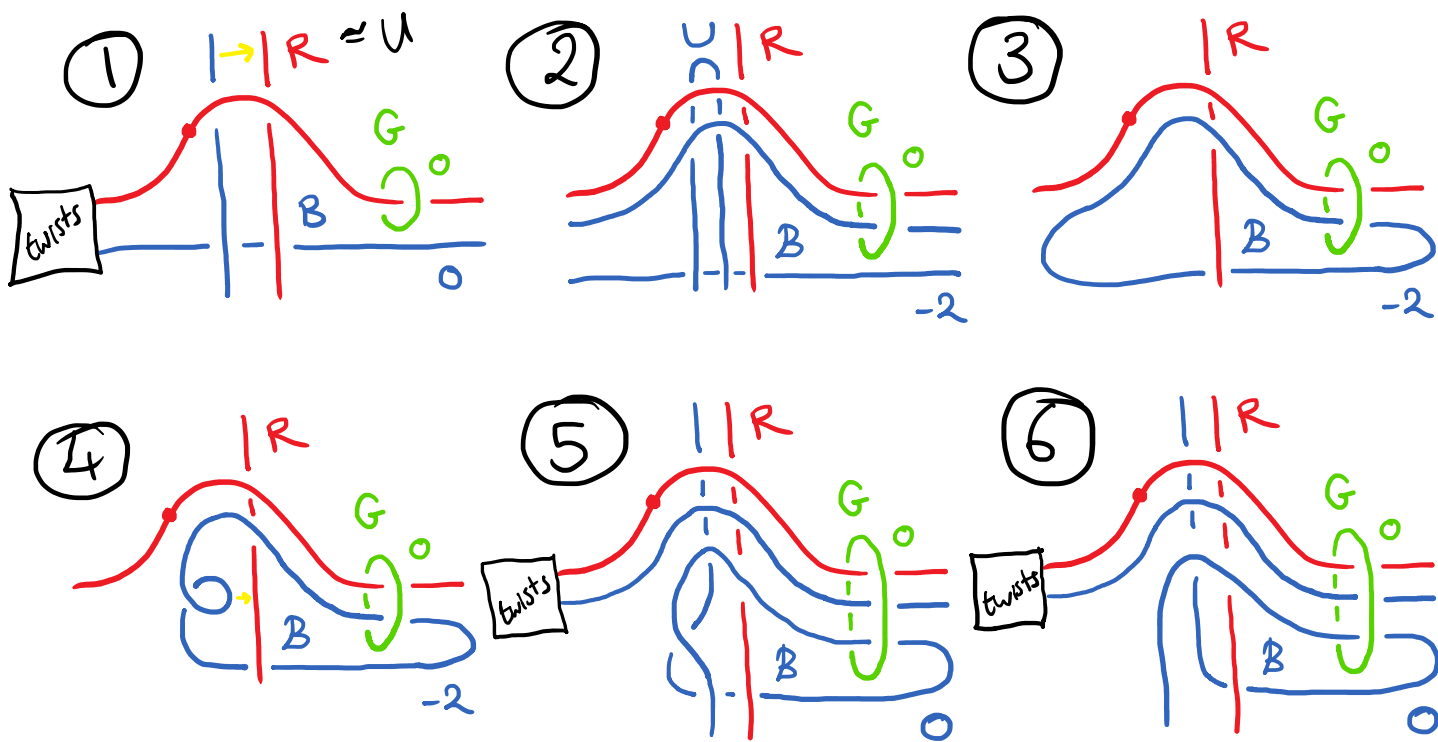


Step 2

Let  $K$  be the Conway knot  
 Go backwards to find  $L$ .  
 Then construct  $K'$ .

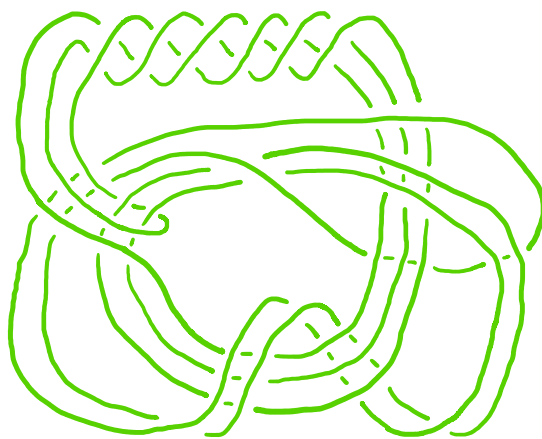
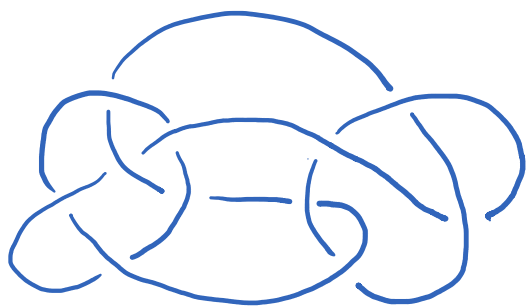
Prop. If  $K$  has unknotting number 1, "trefoil" then such an  $L$  exists.   = 0

Proof:



$K$  = Conway knot

$K'$  = Piccirillo's knot

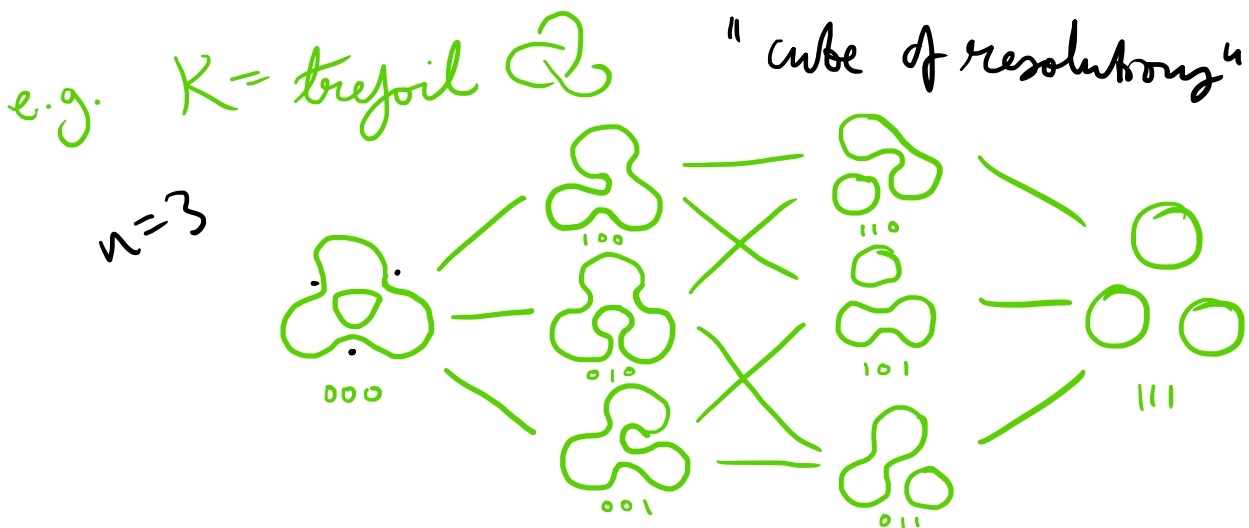


$$X(K) \cong X(K')$$

# 3.4 Rasmussen's $\delta$ -invariant

## - Khovanov homology

$L$  link. "Resolve" crossings.  $X \begin{matrix} \nearrow \\ \searrow \end{matrix} \begin{matrix} \cup \\ \cap \end{matrix}$   
 $n$  crossings  $\rightarrow 2^n$  resolutions



**TQFT**

$$\bigcirc \rightarrow V$$

$$\bigcirc \bigcirc \rightarrow V \otimes V$$

$$\bigcirc \xrightarrow{\text{split}} \bigcirc \bigcirc \rightarrow \Delta: V \rightarrow V \otimes V$$

$$\bigcirc \bigcirc \xrightarrow{\text{merge}} \bigcirc \rightarrow m: V \otimes V \rightarrow V$$

$i = \text{homological grading}$   
 $j = \text{quantum grading}$

$\rightsquigarrow$  chain complex

$\rightsquigarrow$  Khovanov homology

$\rightsquigarrow$  Lee homology

bigraded

$$\begin{matrix} \downarrow \\ \text{CKh}^{ij}(L) & E^1 \\ \text{Kh}^{ij}(L) & E^2 \\ \vdots & \vdots \\ \text{KhL}^{ij}(L) & E^\infty \end{matrix}$$

$$KhL(K) \cong \mathbb{Q} \oplus \mathbb{Q}$$

-  $s$ -invariant

Theorem [Rasmussen]

For any knot  $K$ , the generators of  $KhL(K)$  are located in the gradings  $(i, j) = (0, s(K) \pm 1)$ .

If  $K$  is slice, then  $s(K) = 0$ .  $\uparrow$   
 $s$ -invariant

So NTS  $s(K') \neq 0$ .

Compute  $Kh(K')$ .

$j \setminus i$	-3	-2	-1	0	1	2	3	4	...
...									
5					1	3	3	2	
3					3	3			
1			2	2	2				
-1		1	1						
-3		2							
-5	1								

$(i, j) = (0, 3)$

$s(K') = 2 \neq 0$   $\Rightarrow K'$  not slice  
 $\Rightarrow K$  not slice.